

**Western Area Power Administration  
Request for Proposals  
Regarding the Purchase of Renewable Energy Certificates  
July 25, 2012**

1. **BACKGROUND:** United States of America, acting by and through the Western Area Power Administration (Western), a power marketing administration within the U.S. Department of Energy (DOE), desires to purchase Renewable Energy Certificates (RECs) under this Request for Proposals (RFP). The Sierra Nevada Region (SNR) is the contracting office on behalf of Western. The purchase, made by Western on behalf of Federal agencies as identified in this RFP, is pursuant to the Economy Act, 31 U.S.C. § 1535, as supplemented; the Acts of Congress approved June 17, 1902 (32 Stat. 388); August 4, 1939 (55 Stat. 1187); August 4, 1977 (91 Stat. 565), and Acts amendatory or supplementary to the foregoing Acts. This proposed acquisition is not subject to the Federal Acquisition Regulation. Western encourages small and minority-owned businesses and Native American Tribes to apply.

The following Federal entities, each sometimes hereinafter individually called "REC Requestor," and all sometimes hereinafter collectively and/or in conjunction with Western, called "REC Requestors," have asked that Western purchase the individual REC amounts requested by the years set forth in Attachments 1 and 2 on their behalf:

U.S. Department of Energy, Oak Ridge National Laboratory  
U.S. Department of Energy, Los Alamos National Laboratory  
U.S. Department of Energy, National Nuclear Security Administration,  
Sandia National Laboratories  
U.S. Environmental Protection Agency, Superfund Program  
U.S. Department of Energy, National Renewable Energy Laboratory,  
Golden Field Office

**Timing: Offers submitted in response to this RFP must be received via MAIL or FAX to Western on or before August 15, 2012, at 4:30 p.m. PDT to be considered for evaluation. E-mail offers will not be considered. Western reserves the right to not consider any proposals received after the prescribed dates and time.**

2. **DEFINITIONS:** The definitions of certain terms used in this RFP are as follows:
- 2.1 **Contractor/REC Provider/Providing Company:** The successful Offeror(s), Supplier(s), or Generator(s) for sale to Western of RECs under this RFP.

- 2.2 Emissions & Generation Resource Integrated Database (eGRID):** A comprehensive source of data on the environmental characteristics of almost all electric power generated in the United States. eGRID is unique because it links air emissions data with electric generation data for power plants in the United States. Annual output emission rates for greenhouse gases (GHGs) can be used as default factors for estimating GHG emissions from electricity use when developing a carbon footprint or emission inventory. Annual non-baseload output emission rates should not be used for those purposes, but can be used to estimate GHG emissions reductions from reductions in electricity use. For more information, visit the following website:  
<http://cfpub.epa.gov/eGRIDweb/index.cfm>.
- 2.3 Fiscal Year (FY):** Is defined as October 1 through September 30. FY and Contract FY are used interchangeably in this solicitation.
- 2.4 Generator:** The entity having ownership of the renewable generation producing the RECs. The Generator may also be the Offeror/Supplier.
- 2.5 Offeror/Supplier/REC Provider/Providing Company:** The provider of, but not necessarily the generator of, RECs.
- 2.6 REC Contract:** The contract(s) that will be executed between Western and the Contractor(s) to formally contract for purchase of RECs for the REC Requestor(s).
- 2.7 Renewable Energy (RE):** Generally defined as the electric energy, measured in megawatt-hours (MWh), which is generated from solar, wind, biomass, landfill gas, ocean (including tidal, wave, current, and thermal), geothermal, municipal solid waste, or new hydroelectric generation capacity achieved from increased efficiency or additions of new capacity at an existing hydroelectric project, and is physically delivered into the electric grid. Qualifying RE facilities are further defined by Section 203 of the 2005 Energy Policy Act, Executive Order (EO) 13423 and the “Renewable Energy Requirement Guidance for EPACT 2005 and Executive Order 13423” available at:  
[http://www1.eere.energy.gov/femp/pdfs/epact05\\_fedrenewenergyguid.pdf](http://www1.eere.energy.gov/femp/pdfs/epact05_fedrenewenergyguid.pdf).
- 2.8 Renewable Energy Certificate (REC):** A Renewable Energy Certificate (REC) represents the environmental, social, and other positive attributes of power generated by renewable resources. These attributes include the tons of GHGs that were avoided by generating electricity from renewable

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<sup>1</sup> See Attachment 1 for additional Green-e requirements [http://www.green-e.org/getcert\\_re\\_stan.shtml](http://www.green-e.org/getcert_re_stan.shtml) that apply to certain line items.

resources instead of fuels, such as coal, nuclear, oil, or gas. For purposes of this solicitation, a qualifying REC is one where none of the environmental attributes have been separately sold, given, or otherwise transferred to another party *by a deliberate act of the Certificate owner*. One REC is issued for each MWh unit of RE produced. The electricity that was split from the REC is no longer considered "renewable" and it cannot be counted as renewable or zero-emissions by whoever buys it. REC and RECs are used interchangeably in this solicitation.

**2.9 REC Request for Proposals (RFP):** A solicitation that requests offers in response to a government effort to acquire RECs.

**2.10 Retirement Certification:** Documentation that certifies the RECs provided will not be marketed, sold, or transferred to any entity other than the REC Requestor(s), or presented as a component of the Generator's energy products.

### **3. STATEMENT OF WORK AND SPECIFICATIONS**

**3.1 REC Acquisition Requirements:** Western is requesting firm, fixed, per-MWh unit price offers for each FY for the transfer of RECs on behalf of any or all of the REC Requestors for terms of each FY beginning FY 2012 through FY 2016. Attachments 1 and 2 to this RFP outlines the REC Requestor(s), the amount of RECs in MWh that the REC Requestor(s) seeks to acquire, and, as applicable, the type of resource, location of generation, and other considerations.

**3.2 Proposal Criteria:** Western will consider proposals that offer to supply one or more of the Bid Line Items for the REC Requestor(s) as set forth in Attachments 1 and 2. Western may aggregate more than one proposal to meet the requirements of this RFP.

3.2.1 The RECs to be purchased shall meet, at a minimum, the RE definitions and qualifications as stated in Section 2.7 and considerations for each REC Bid Line Item as specified in Attachments 1 and 2.

3.2.2 All RECs to be provided under this acquisition must be generated within the United States and shall contain all original environmental attributes as defined in Subsection 2.8, above, and as specified in Attachments 1 and 2.

3.2.3 All RECs must be generated in the same FY for which the RECs are purchased, or as allowed by the certification methodology described in Subsection 3.4.

- 3.3 Start Date:** The start date for the RECs contract period is September 1, 2012.
- 3.4 REC Certification:** Offerors may be required to certify that the RECs are “green” using one of the following certification methods: a nationally recognized renewable electricity certification program such as Green-e; TerraChoice, or similar program; an independent auditor’s report; or a third-party certification methodology approved by a state governmental entity, a regional transmission entity, or power pool in which the renewable resource is located. Certification is subject to the approval of Western and the REC Requestor(s) prior to the REC Contract award. The REC Certification method will be included in the REC Contract(s). Bid line items requiring certification are noted as such in Attachments 1 and 2.
- 3.5 Third-Party Verification and Audit Requirement:**
- 3.5.1 At the time of delivery, Western requires the Offeror and the Generator to submit verification forms (see Attachments 3 and 4) describing the resource and amounts generated in the Contract FY or provide documentation that RECs were retired to the REC Requestor in a REC tracking system that provides the same functions as Attachments 3 and 4.<sup>2</sup>
- 3.5.2 An independent third party verification audit is required for this contract. Independent means the third party has no commercial interest in the sale of the RECs. All offerors must provide a verification plan that will be utilized under any resultant contract, which is subject to Western’s approval. At a minimum, the verification shall include an annual audit report, performed by a Certified Public Accountant, within 30 calendar days after the end of the delivery period. In the event additional time is required in order to submit the annual audit report, please indicate the number of days required in the offer. Any exceptions to the 30 calendar day requirement will be subject to Western’s approval. REC tracking system documentation may be used to simplify the audit process. The audit report shall include an affidavit that:
- 1) Attests that the REC product content for the past calendar year was sufficiently generated in order to meet the quantity, renewable type, vintage and on-line date identified in the contract specifications.
  - 2) The offeror has not sold and will not sell the renewable power twice (as either a renewable power product or as a REC product), and in the case of a reseller that purchases a wholesale product for retail sale, reasonable measures are

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<sup>2</sup> Some tracking systems allow separation of the environmental attributes and therefore do not qualify.

taken to ensure that suppliers also do not sell renewable power more than once;

3) The seller has transferred to the REC Requestor (or has permanently retired on behalf of the REC Requestor) the right to all emission reduction credits/allowances directly attributable to the generating unit from which the RECs are based and to which the generator is entitled, without the emission credits/allowances having been sold off separately and/or used for compliance with any local, state, or Federal Government regulatory requirement;

4) The offeror has not sold and will not sell any emission allowances/credits, or other environmental attributes associated with renewable power/RECs; and

5) The renewable power/RECs used to fulfill the requirement of this solicitation are not being used and will not be used to meet any Federal, state, or local renewable energy requirement, renewable energy procurement, renewable portfolio standard, or other renewable energy mandate for anyone other than the REC Requestor.

3.5.3 The Contractor shall forward the third party verification audit report to the purchaser and note any contract deficiencies.

**3.6 Transfer of REC Title:** The Contractor will transfer the applicable RECs to the REC Requestor(s) following the schedule described in Attachments 1 and 2. The RECs transferred to the REC Requestor(s) under and pursuant to a RECs Contract will be represented by a certificate, hereinafter called a Transfer Certificate. An authenticated copy of this Transfer Certificate will be provided to Western by the Contractor following the same schedule as described in Attachments 1 and 2.

3.6.1 All REC transfers to the REC Requestor(s), in the annual amounts and types, must be completed by the dates specified in Attachments 1 and 2 of the following Contract FY as shown in Attachments 1 and 2.

3.6.2 Western and the REC Requestor(s) reserve the right to unilaterally terminate the REC Contract(s), without penalty or obligation to the Supplier, for the Supplier's failure to deliver RECs in the amounts, types, and timeframe as described in Paragraph 3.6.1.

**3.7 Invoice and Payment:** All invoicing shall be based on the actual annual quantity of RECs purchased by and transferred to the REC Requestor(s) on the basis specified in Attachments 1 and 2, beginning on the actual start date of the REC Contract. The RECs invoiced under the REC Contract must be generated in accordance with the criteria set forth in Subsection

3.2 and certified in accordance with Subsections 3.4 and 3.5. The Contractor will only be permitted to invoice for charges allowed under the terms and conditions of the REC Contract. The invoicing address will be specified therein. All invoices must be accompanied by a fully completed Transfer Certificate. An authenticated copy of each invoice will be provided to Western.

**3.8 Additional REC Amounts:** The REC Requestor(s) may request Western to contract for (1) the transfer of RECs for additional facilities, or (2) the transfer of additional REC amounts for the facilities described in this RFP. If Western considers the request within the original scope of the REC Contract, Western shall provide the Contractor with the additionally desired REC amounts. Western and the Contractor will review requests for additional RECs and decide whether to extend the full terms and conditions of the REC Contract to cover the proposed additional purchase through modification to the contract.

**4. RFP SUBMISSION REQUIREMENTS:** Offerors must fully address each of the following submission requirements to be considered for this solicitation:

**4.1 Contact Information:** Offerors must provide: company name, contact person, physical mailing address, phone number, fax number, and e-mail address.

**4.2 Renewable Energy Facilities and REC Amounts:** Offerors must provide the following information: identify which Bid Line Items of which Attachment they propose to serve with RECs; type of generation resource (e.g., solar, wind, biomass, etc.); the name(s) and location(s) of the generating facility(s) from which the RECs originate, including zip code; the original operational date of the generator(s) producing the RECs; the annual amount of RECs offered from the proposed generator(s).

**4.3 Cost:** Offerors must specify the firm, fixed, per-MWh unit price for the offered RECs for each Contract FY as identified in Attachments 1 and 2.

**4.4 Past Performance:** Documentation must be submitted describing relevant past experience and performance regarding the transfer of RECs. Past performance may include Federal and non-Federal customer references. The Offeror must provide current contact information for all past performance references provided. The Offeror agrees to permit Western's representatives to contact the listed references and inquire about the Offeror's past performance. Failure to provide past performance documentation may result in exclusion from further evaluation/consideration. Offeror's with no past performance due to recent business establishment/incorporation must provide supporting documentation verifying the same.

**4.5 Creditworthiness:** Offeror(s) must submit documentation of its creditworthiness and a statement of how it intends to meet Western's creditworthiness requirements. Western's standard creditworthiness process includes: data collection (both quantitative and qualitative); credit evaluation, credit score determination, and overall determination of creditworthiness. The Offeror(s) will be required to provide information as requested by Western, including a credit application. Western may review the Offeror(s) credit rating at any time during the term of the RECs Contract.

## **5. EVALUATION CRITERIA**

**5.1 Best Value:** Utilizing the flexibility allowed under Western's power marketing authority, Western intends to award one or more REC Contracts to Offeror(s) that Western considers as providing the best overall value and that otherwise meets the terms of this RFP.

**5.1.1 Past Performance:** Each Offeror(s) past performance, if any, shall be considered in evaluating which qualified offers provide the best overall value. Offeror(s) with no past performance may receive a neutral past performance assessment.

**5.1.2 Price:** REC firm, fixed, per-MWh unit price shall be considered in evaluating which qualified offers provide the best overall value.

**5.1.3 Location:** e-GRID source location may be utilized in determining best value as this information is used to determine the greenhouse gas emission adjustment reported by the Federal agency.

**5.1.4 Creditworthiness:** For the purpose of determining the ability of Offeror(s) to meet its obligations, Western will consistently apply credit review procedures to evaluate Offeror(s) credit risk in accordance with Western's standard credit requirements. Western may require Offeror to provide and maintain necessary credit or guarantees to maintain financial assurance(s) to meet its responsibilities and obligations during the term of this Contract.

**5.2 Submission Requirements:** An offer will be considered for evaluation if it complies with the submission requirements set forth under Section 4. Western reserves the right to seek additional information for, or clarification of, an individual offer as needed without notification to all Offerors.

## 6. REQUIRED FEDERAL CONTRACT PROVISIONS:

- 6.1 Contingent on Appropriations:** Where activities provided for in the REC Contract extend beyond an individual FY, continued expenditures by the United States are contingent upon Congress making the necessary appropriations required for the continued performance of the United States' obligations under the REC Contract. In case such appropriation is not made, the Contractor hereby releases the United States from its contractual obligations and from all liability due to failure of Congress to make such appropriation.
- 6.2 Covenant Against Contingent Fees:** The Contractor warrants that no person or selling agency has been employed or retained to solicit or secure the REC Contract upon an agreement or understanding for a commission, percentage, brokerage, or contingent fee, excepting bona fide employees or bona fide established commercial or selling agencies maintained by the Contractor for the purpose of securing business. For breach or violation of this warranty, Western and the REC Requestor shall have the right to annul the REC Contract without liability or in its discretion to deduct from the REC Contract price or consideration the full amount of such commission, percentage, brokerage, or contingent fee.
- 6.3 Contract Work Hours and Safety Standards:** The REC Contract, to the extent that it is of a character specified in Section 103 of the Contract Work Hours and Safety Standards Act (Act), 40 U.S.C. § 3701, as amended or supplemented, is subject to the provisions of the Act, 40 U.S.C. §§ 3701-3708, as amended or supplemented, and to regulations promulgated by the Secretary of Labor pursuant to the Act.
- 6.4 Equal Opportunity Employment Practices:** Section 202 of EO No. 11246, 30 Fed. Reg. 12319 (1965), as amended by EO No. 12086, 43 Fed. Reg. 46501 (1978), as amended or supplemented, which provides, among other things, that the Offeror will not discriminate against any employee or applicant for employment because of race, color, religion, sex, or national origin, must be incorporated into the REC Contract. Indian Tribes and tribal organizations may apply Indian preference to the extent permitted by Federal law.
- 6.5 Use of Convict Labor:** The Offeror agrees to not employ any person undergoing sentence of imprisonment in performing the REC Contract except as provided by 18 U.S.C. § 3622(c) as amended or supplemented, and EO 11755, 39 Fed. Reg. 779 (1973), as amended or supplemented.

## 7. PROCESS AND TIMING

- 7.1 Timing:** Offers submitted in response to this RFP must be received via MAIL or FAX to Western on or before August 15, 2012, at 4:30 p.m. PDT to be considered for evaluation. E-mail offers will not be considered. Western reserves the right to not consider any proposals received after the prescribed dates and time.
- 7.2 Submission of Responses:** Western will receive offers that are submitted by mail via the U.S. Postal Service or an equivalent private mail carrier (e.g., UPS or FedEx). The address for submission of responses via the U.S. Postal Service or private carrier is:
- Western Area Power Administration  
Sierra Nevada Region  
ATTN: Sandee Peebles (REC Project Manager)  
114 Parkshore Drive  
Folsom, CA 95630
- Offers may also be faxed to Western at (916) 985-1931, ATTN: Sandee Peebles. Fax verification is (916) 353-4454. **Offers submitted via hand or electronic mail delivery methods will not be considered.**
- 7.3 Questions:** Frequently asked questions and Western's responses are listed at the following location:  
[http://ww2.wapa.gov/sites/Western/renewables/pmtags/Documents/AggR\\_ECRFP\\_072312.doc](http://ww2.wapa.gov/sites/Western/renewables/pmtags/Documents/AggR_ECRFP_072312.doc). Additional questions regarding this RFP will be accepted **only via e-mail to [peebles@wapa.gov](mailto:peebles@wapa.gov) until August 10, 2012.** Please include the date of this RFP in your submission. All questions and responses will be electronically posted for public view. Western will not provide notification that questions and or responses have been posted. Prospective Offerors are encouraged to check the link above for responses to their submitted questions.
- 7.4 Notification of Receipt:** A written acknowledgement of receipt of offers will not be provided. Offerors therefore are strongly encouraged to ensure that adequate tracking measures are taken with respect to their mailed or faxed offer.
- 7.5 Evaluation of Offers:** Western will consider all offers received on or before the date and time set forth in Subsection 7.1 of this RFP. Offerors should not expect Western to enter into discussions about their proposals and should accordingly submit their best offer in their initial proposal. Western reserves the right to request best and final offers from one or more Offerors, and to award or not award any REC Contract(s) as

Western ultimately deems appropriate. REC Contract award decisions will be made by Western, in consultation with all or any of the respective REC Requestor's representatives.

**7.6 Notification of Award and Subsequent Contracting:** Western will notify successful Offeror(s) regarding selection for contract negotiations, and will seek to negotiate and award the REC Contract(s) as soon as practicable. A direct notification to unsuccessful Offerors will not be provided. However, after award, Western will post a general notification announcing contract(s) award(s), including successful awardee(s), on its website, [http://ww2.wapa.gov/sites/Western/renewables/pmtags/Documents/AggRECRFP\\_072312.doc](http://ww2.wapa.gov/sites/Western/renewables/pmtags/Documents/AggRECRFP_072312.doc).

**8. CONFIDENTIALITY AND USE OF INFORMATION IN OFFERS:** Western will share information contained in submitted offers with other Western staff and may also share the information with the REC Requestor(s) and their designated representatives. Offeror(s) wishing to have part or their entire offer not made available to the public should so indicate by marking the applicable portions "Confidential."

**9. CONTACT INFORMATION:**  
Santee Peebles (REC Project Manager)  
Western Area Power Administration  
Sierra Nevada Region  
114 Parkshore Drive  
Folsom, CA 95630  
[peebles@wapa.gov](mailto:peebles@wapa.gov)

## Attachment 1 REC Bid Schedule

Western is requesting firm, fixed, per-MWh unit price, per year offers for the transfer of RECs on behalf of the REC Requestors for each FY for contract terms October 2011 up to September 2016. Proposals are requested for Bid Line Items 1 through 4 below.

<u>Bid Line Items</u>	<u>Requested REC MWh Per Fiscal Year</u>					<u>Special Considerations</u>	
	<u>2012</u>	<u>2013</u>	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>Location and Type of Generation</u>	<u>Verification/ Certification Requirements</u>
1. Department of Energy, Oak Ridge National Laboratory <sup>1/</sup> and <sup>2/</sup>	30,000	0 <sup>3/</sup>	0	0	0	Wind or Solar within the United States	EPAAct 2005 / EO 13423 RE Guidance Green-e Certified
2. Department of Energy, Los Alamos National Laboratory <sup>2/</sup>	11,691	47,979	106,427	131,663	163,471	Within United States	EPAAct 2005 / EO 13423
3. Department of Energy, Sandia National Laboratory <sup>2/</sup>	0	24,000	24,000	0	0	Within United States, at or near Albuquerque, NM	EPAAct 2005 / EO 13423
4. Environmental Protection Agency <sup>2/</sup>	0	40,000	0	0	0	Wind Within United States	EPAAct 2005 / EO 13423

<sup>1/</sup> RECs must meet EPAAct 2005 and EO 13423. REC generation period must be between July 1, 2011 and June 30, 2012. RECs must be Green-e Certified. An attestation letter will be provided to Oak Ridge National Laboratory by September 28, 2012: 1) the address of the generation site; 2) generator identification number; 3) generator capacity (MW) and resource (wind/solar); 4) quantity of RECs purchased (MWh); 5) date of first generation by the resource equipment; 6) generation period of the RECs (month/year); and 7) declaration of inclusion of all renewable attributes with the REC purchase.

<sup>2/</sup> MWh range reflects best estimate of minimum guarantee and potential maximum annual purchase. The REC Requestors require the ability to identify actual annual REC quantity (above minimum) by July 31 of each FY.

<sup>3/</sup> Zeros indicate that no RECs are required by the REC Requestor for those FY.

## Attachment 2 REC BID Schedule

Western is requesting firm, fixed, per-MWh unit price, per year offers for the transfer of RECs on behalf of the REC Requestor for each FY for contract terms October 2012 up to September 2016. Proposals are requested for Bid Line Items 1 and 2 below.

Bid Line Items	<u>Requested REC MWh Per Fiscal Year</u>				<u>Special Considerations</u>	
	2013	2014	2015	2016	Location of Generation	Certification Requirements
1. Department of Energy, National Renewable Energy Laboratory – ESIF & CAFÉ <sup>1/</sup>	4,763	4,763	0 <sup>2/</sup>	0	e-Grid MROW, RMPA OR SPNO	Meets Federal renewable definition and Green-e Certified
2. Department of Energy, National Renewable Energy Laboratory – Mesa Top PV Array <sup>1/</sup>	0	1,227	1,227	1,227 <sup>3/</sup>	e-Grid MROW, RMPA OR SPNO	Meets Federal renewable definition and Green-e Certified

<sup>1/</sup> All RECs to be delivered (invoiced) in annual amounts. REC Transfer Certificate will be submitted no later than November 30 of the following Contract FY.

<sup>2/</sup> Zeros indicate that no RECs are required by the REC Requestors for those FY.

<sup>3/</sup> The REC Requestor requests the option to continue to purchase 1,227 of RECs annually for the Mesa Top PV Array through FY 2022. Such option may be exercised on an annual basis (i.e., six 1-year contracts), or through multiple year contracts (i.e. two 3-year contracts), For FY 2017 – FY 2022 RECs, Western will request pricing offers from the existing REC provider prior to the termination date of the REC contract. If the REC Requestor agrees to the terms of the offer, Western will extend the term of the contract as necessary.

**Attachment 3**  
**Annual Renewable Certification from REC Provider**  
**(Sample)**

I, \_\_\_\_\_,  
 (print name and title)

declare under penalty of perjury, on behalf of

\_\_\_\_\_, that  
 (name of REC provider)

- (1) all the RECs associated with this invoice were generated by eligible RE Generators as defined in Contract No. 12-SNR-\_\_\_\_\_;
- (2) all the renewable attributes, including any emissions reduction credits or emissions allowances, represented by the REC sales reported in the REC Transfer Certificate were transferred to REC Requestor; and
- (3) the renewable attributes represented by the REC sales reported in the REC Transfer Certificate were not and will not be sold, marketed, or otherwise claimed by a third party.

**REC Product**

Generating Facility	MWh Generation	From: (date)	To: (date)	Fuel Type	REC Serial Nos.

I further declare that the RECs claimed for the REC products LISTED ABOVE and reported in the REC Transfer Certificate were sold once and only once, and that the electrical energy that was generated with the RECs reported in the REC Transfer Certificate was not and will not be sold, marketed, or otherwise represented as renewable energy and was not and will not be used to meet any Federal, state, or local renewable energy requirement, renewable energy procurement, renewable portfolio standard, or other renewable energy mandate. As an authorized agent of the aforementioned Company, I have authority to submit this report on the company's behalf.

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Place of Execution: \_\_\_\_\_

Address of Providing Company: \_\_\_\_\_  
 \_\_\_\_\_

**Attachment 4  
Annual Renewable Generator  
Verification and Certification Form  
(Sample)**

**1. Facility Information**

Name of Facility: \_\_\_\_\_

Date from: \_\_\_\_\_ to: \_\_\_\_\_

Address of Facility: \_\_\_\_\_  
\_\_\_\_\_

Facility ID Number: \_\_\_\_\_ EIA or QF? (circle one)

Contact Person: \_\_\_\_\_

Title: \_\_\_\_\_

Telephone: \_\_\_\_\_ Fax: \_\_\_\_\_

Resource Type (Indicate Fuel Type)	Capacity <sup>1/</sup> (MW)	MWh/RECs Sold to Provider	Date Facility First Operational	Period of Delivery (mm/yy)	REC Serial Nos.

<sup>1/</sup> Please enter Energy Information Administration (EIA) identification number for the generating facility. If the facility does not have an EIA number, please enter the utility-assigned Qualifying Facility (QF) identification number.

**2. Declaration**

I, \_\_\_\_\_,  
(print name and title)

declare under the penalty of perjury, that the information provided on this form is true and correct to the best of my knowledge.

Further, I declare that the \_\_\_\_\_ MWhs of RECs  
(indicate number of MWh)

listed above were sold exclusively to \_\_\_\_\_.  
(name of REC provider)

Further, I declare that

- (1) all the renewable attributes, including any emissions reduction credits or emissions allowances, represented by the renewable electricity generation listed below were transferred to the REC provider above;
- (2) to the best of my knowledge, the renewable attributes were not and will not be sold, marketed, or otherwise claimed by a third party;
- (3) the renewable attributes were and will be sold once and only once;
- (4) the electrical energy that was generated with the attributes was not used on-site for generation; and
- (5) the electrical energy that was generated with the attributes was not and will not be separately sold, marketed, or otherwise represented as renewable energy and was not and will not be used to meet any Federal, state, or local renewable energy requirement, renewable energy procurement, renewable portfolio standard, or other renewable energy mandate.

Further, I declare that the facilities that generated all of the \_\_\_\_\_ RECs.  
(indicate number of MWhs)

sold to \_\_\_\_\_  
(name of REC provider)

are listed above by fuel type.

As an authorized agent of \_\_\_\_\_,  
(generating company name)

I attest that the above statements are true and correct.

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Place of Execution: \_\_\_\_\_

Address of Generating Company: \_\_\_\_\_

\_\_\_\_\_